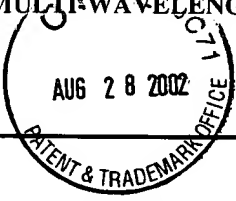



<b>CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)</b> Applicant(s): <b>OLAV SOLGAARD ET AL.</b>			Docket No. <b>UC97-156-8</b>
Serial No. <b>09/849,096</b>	Filing Date <b>MAY 4, 2001</b>	Examiner <b>LEE, JOHN D.</b>	Group Art Unit <b>2874</b>
Invention: <b>MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH</b>			
<div style="text-align: center;"></div>			
I hereby certify that the following correspondence: <div style="border: 1px solid black; height: 50px; margin-top: 10px;"></div> <b>Information Disclosure Citation (PTO-1449) (Page 1)</b>			
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<div style="margin-bottom: 10px;"><u><b>Jerry V. King</b></u> <small>(Typed or Printed Name of Person Mailing Correspondence)</small></div> <div style="margin-bottom: 10px;"> <small>(Signature of Person Mailing Correspondence)</small></div> <div><u><b>EL508300778US</b></u> <small>("Express Mail" Mailing Label Number)</small></div>			
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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
UC97-156-8

In Re Application Of: **OLAV SOLGAARD ET AL.**

Serial No.  
**09/849,096**

Filing Date  
**MAY 4, 2001**

Examiner  
**LEE, JOHN D.**

Group Art Unit  
**2874**

Title: **MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**



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**37 CFR 1.97(b)**

1. ☐ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☒ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☒ the fee set forth in 37 CFR 1.17(p).

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**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
UC97-156-8

In Re Application: **OLAV SOLGAARD ET AL.**

Serial No.  
09/849,096

Filing Date  
MAY 4, 2001

Examiner  
LEE, JOHN D.

Group Art Unit  
2874

**MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☒ A check in the amount of **\$180.00** is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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Dated: 8/28/02

Signature

**JOHN P. O'BANION, REG. NO. 33,201**  
**O'BANION & RITCHEY LLP**  
**400 CAPITOL MALL, SUITE 1550**  
**SACRAMENTO, CA 95814**  
**(916) 498-1010**

CC:

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Docket No.

**UC97-156-8**

Serial No.

**09/849,096**

Filing Date

**MAY 4, 2001**

Examiner

**LEE, JOHN D.**

Group Art Unit

**2874**


Invention:

**MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH**

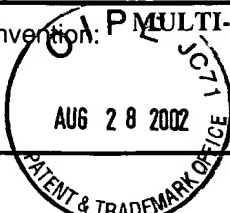
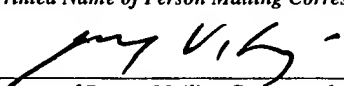
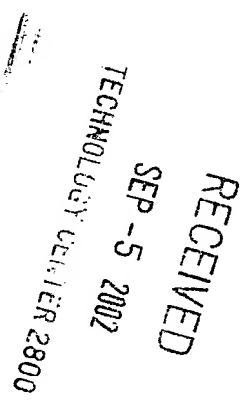
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Serial No. <b>09/849,096</b>	Filing Date <b>MAY 4, 2001</b>	Examiner <b>LEE, JOHN D.</b>	Group Art Unit <b>2874</b>
Invention: <b>MULTI-WAVELENGTH CROSS-CONNECT OPTICAL SWITCH</b>			
<div></div> <p>I hereby certify that the following correspondence:</p> <div><p>Citations (2)</p></div> <p>(Identify type of correspondence)</p> <p>is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231 on <u>28 August 2002</u> (Date)</p> <div><p><b>Jerry V. King</b> (Typed or Printed Name of Person Mailing Correspondence)</p><p> (Signature of Person Mailing Correspondence)</p><p><b>EL508300778US</b> ("Express Mail" Mailing Label Number)</p></div>			
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